

# Evolution of Optical Interfaces for Data Centers

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SPRC 2013 Annual Symposium  
Stanford Photonics Research Center  
Stanford, California  
17 September 2013  
Chris Cole



*Finisar*<sup>®</sup>

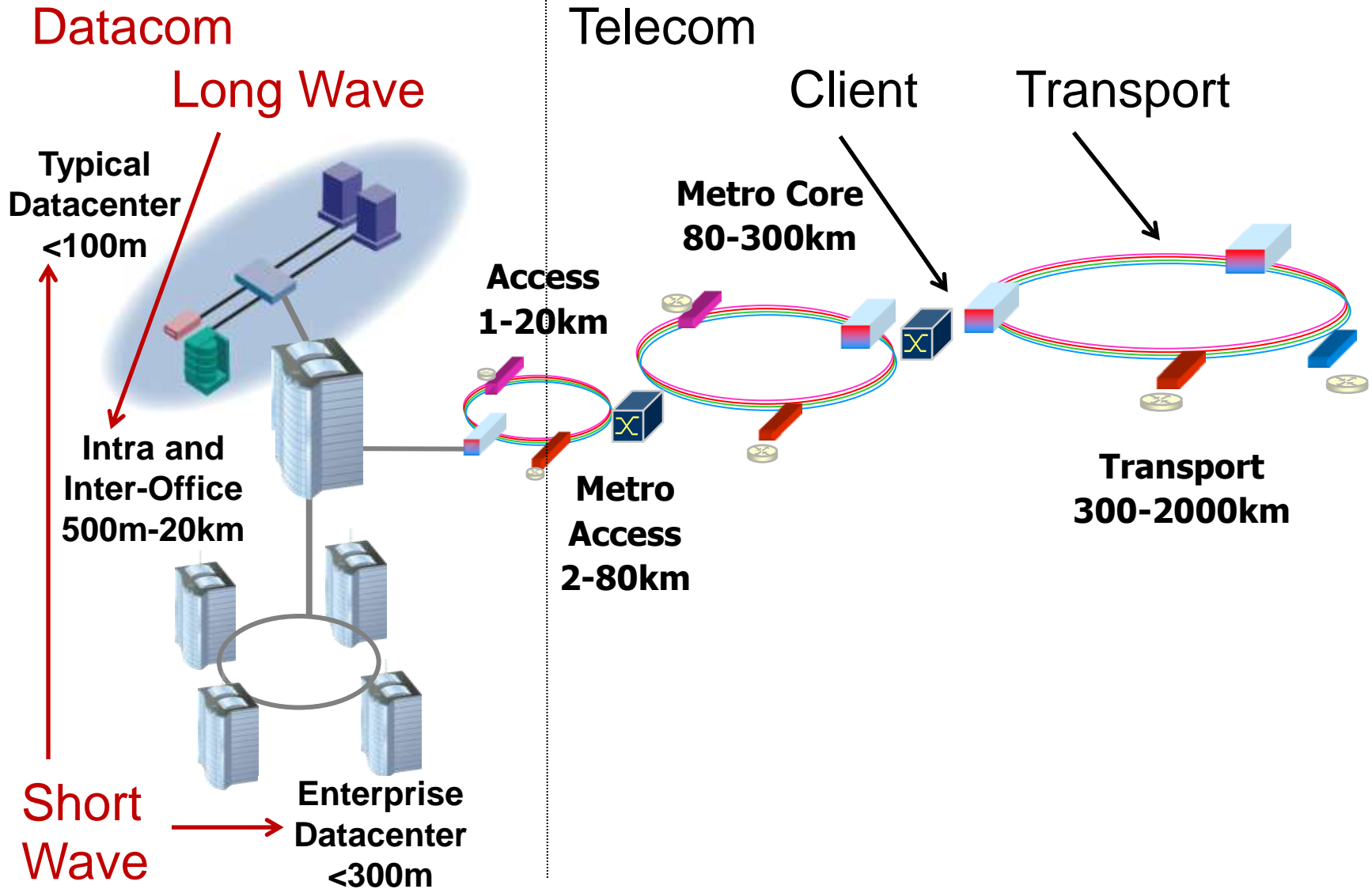
# Outline

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## ➤ Optics Categories

- Non-optics Communication: Voiceband & Wireline
- 1Gb/s Datacom Optics
- 10Gb/s Datacom Optics
- Optics Limits
- 40Gb/s Datacom Optics
- 100Gb/s Datacom Optics
- Advanced Optics Technologies
- 400Gb/s & Beyond Datacom Optics

# Fiber Optic Communication (Optics) Types



# Long Wave (LW) Datacom Optics

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- Single Mode Fiber (SMF) point to point interconnect
- 1310nm Distributed Feedback (DFB) Laser (dominant)
- 1310nm InP and Silicon (SiP) Modulator (emerging)
- 500m, 2km, 10km, 20km inter-rack, data-center, campus, short metro links
- Ethernet (IEEE) primary standards
- FibreChannel (storage) other standards
- High volume (100ks to 1Ms / year)
- Client Telecom Optics similar except ITU-T primary standards

# Short Wave (SW) Datacom Optics

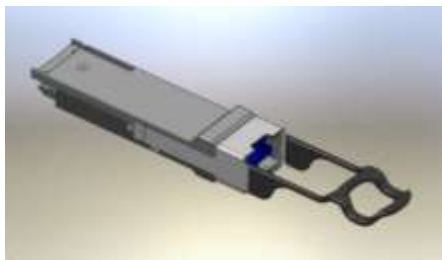
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- Multi Mode Fiber (MMF) point to point interconnect
- 850nm Vertical Cavity Surface Emitting Laser (VCSEL)
- 10m, 30m, 100m, 300m, intra-rack, inter-rack, data-center links
- Ethernet (IEEE) primary standards
- FibreChannel (storage) other standards
- High volume (100ks to 1Ms / year)

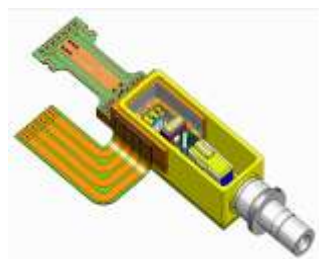
# Optics Hierarchy



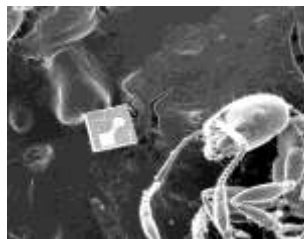
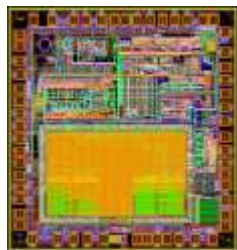
Systems:  
routers / switch  
chassis and boxes



Sub-systems:  
pluggable transceiver  
modules



Components:  
optical  
sub-assemblies



Devices:  
ICs, lasers,  
photo-detectors

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# Voiceband (Wire) Datacom Example

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ITU standard	V.22 (1980)	V.32 (1984)
bits/sec	1200	9600
Baud	600	2400
bits/symbol	2 (4 state QPSK)	4 (16 state QAM)
channels	1 (duplex wire pair)	1 (duplex wire pair)
DSP	none	Echo cancellation, adaptive equalization, forward error correction (FEC)



# Wireline Datacom Example

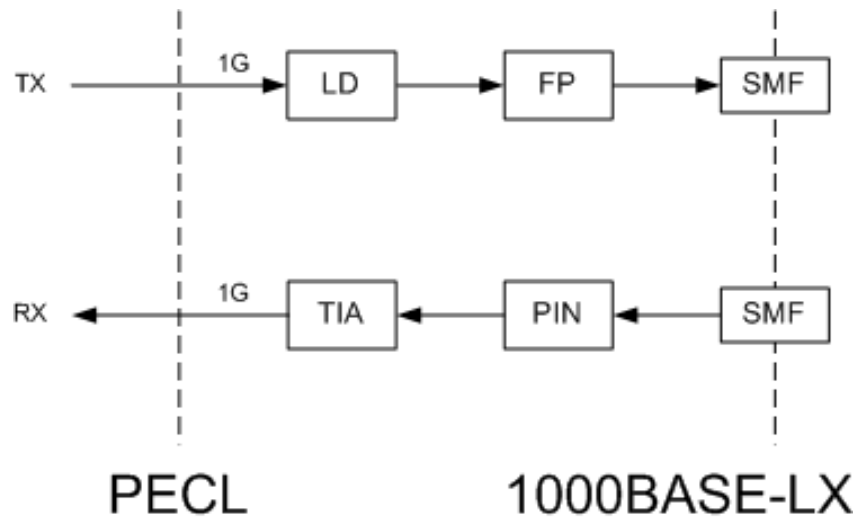
IEEE standard	100BASE-TX (1995)	1000BASE-T (1999)
Mbits/sec	100	1000
MBaud	125	125
bits/symbol	1 (3 state PAM)	~2 (5 state PAM)
channels	1 (2 simplex wire pairs)	4 (bi-directional wire pairs)
DSP	4B/5B encoding	Echo cancellation, trellis coding across all channels

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# 1Gb/s LX SMF 1310nm NRZ GBIC

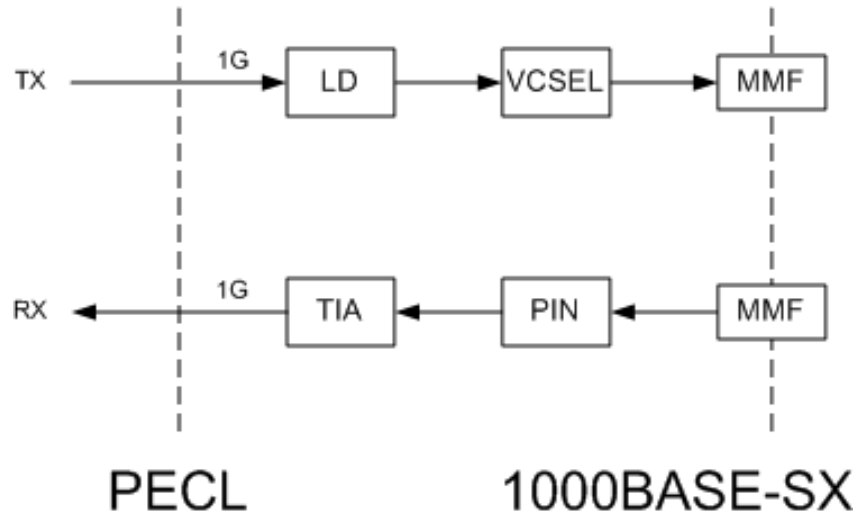


Electric I/O		Optical I/O		
pin pair	Gb /s	fiber pair	$\lambda$	Gb /s
1	1	1	1	1
1		1		



IEEE standard:  
1998

# 1Gb/s SX MMF 850nm NRZ GBIC



Electric I/O		Optical I/O		
pin pair	Gb /s	fiber pair	$\lambda$	Gb /s
1	1	1	1	1
1		1		



IEEE standard:  
1998

# Why Pluggable Optics Modules?

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- The good (il buono)
  - multiple applications supported
  - pay as you go
  - confined, replaceable failures
  - common market
  - specialized R&D & production
- The bad (il cattivo)
  - increased component count
  - SI complicated by I/O connectors
  - power increased by I/O ICs
  - placement limited to the front
- The ugly (il brutto)
  - poor thermal interface
  - heat localized at host front

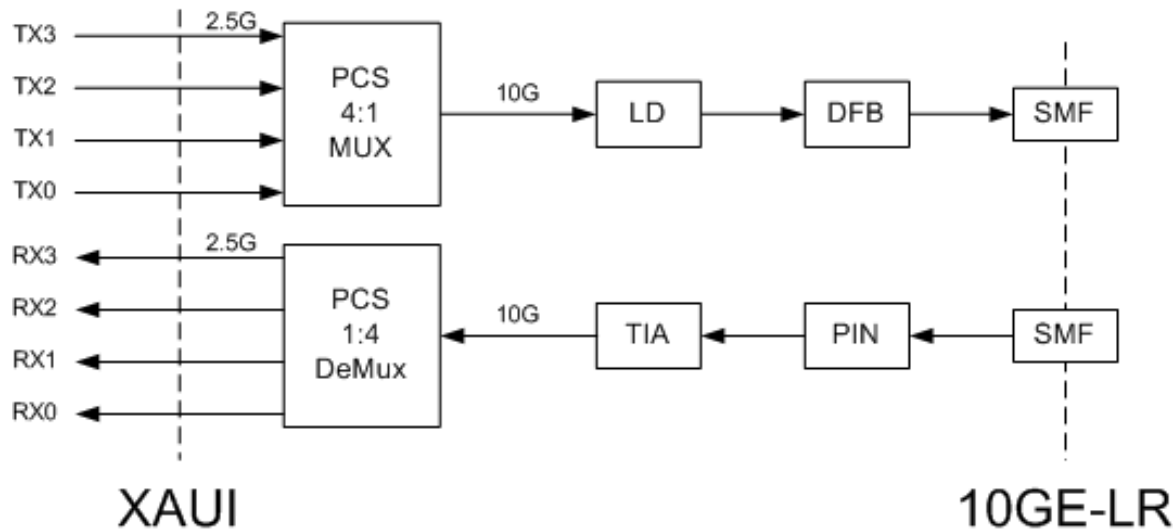


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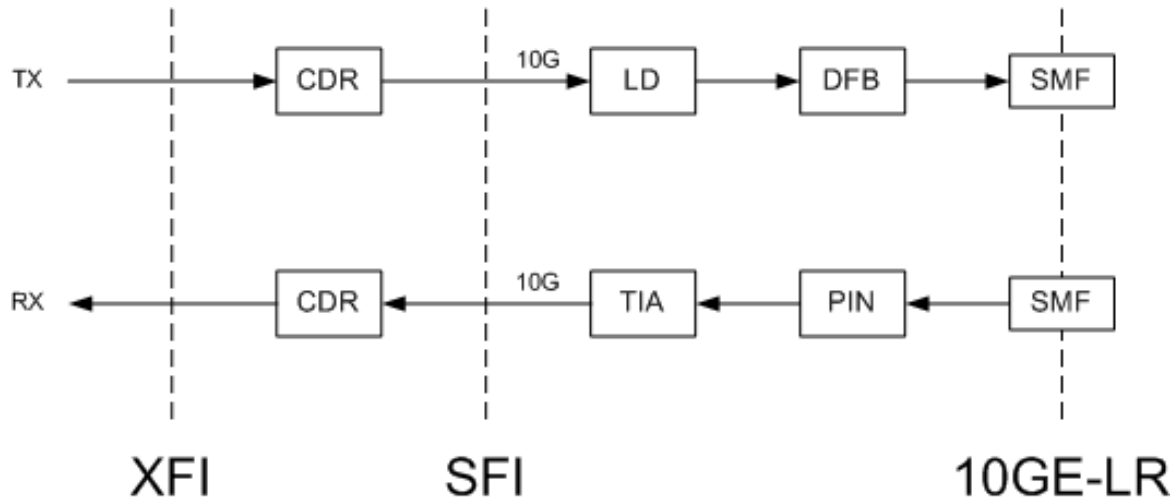
# First 10Gb/s LR SMF 1310nm NRZ XENPAK



Electric I/O		Optical I/O		
pin pair	Gb /s	fiber pair	$\lambda$	Gb /s
	2.5	1	1	
4				10
10		10		

IEEE standard:  
2002

# 10Gb/s LR SMF 1310nm NRZ SFP+



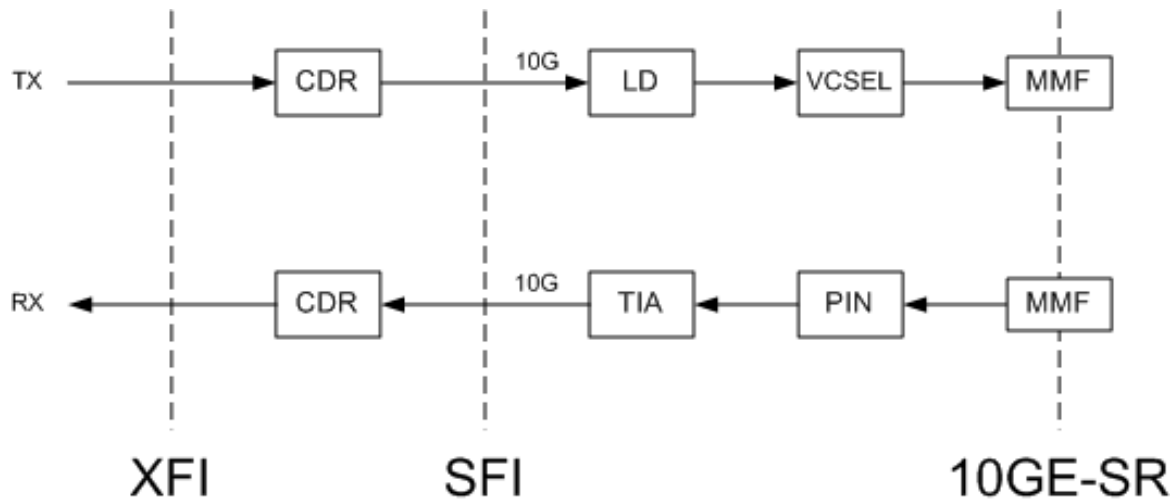
Electric I/O		Optical I/O		
pin pair	Gb /s	fiber pair	$\lambda$	Gb /s
1		1	1	
	10			10
10		10		



IEEE standard:  
2002



# 10Gb/s SR MMF 850nm NRZ SFP+



Electric I/O		Optical I/O		
pin pair	Gb /s	fiber pair	$\lambda$	Gb /s
1		1	1	
	10			10
10		10		



IEEE standard:  
2002

# Why are Optics so Simple vs. Wire Interfaces?

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- Possible reasons:
  - Optics engineers are lazy and/or stupid
  - Fiber channel is far below Nyquist and Shannon limits
- Voice band channel:  $BW = \sim 4\text{kHz}$
- Shielded twisted wire pair channel:  $BW = \sim 100\text{MHz}/50\text{m}$
- SMF channel:
  - 1310nm window  $\sim 100\text{nm}$  wide  $\rightarrow BW = \sim 15\text{THz}$ :  
Nyquist limit =  $\sim 30\text{Tbaud}$
  - 1310nm window Mitra & Stark capacity limit:  
Shannon limit =  $\sim 100\text{Tbps}$
- IC and Laser devices limit Datacom Optical Com.

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# Baud Limit of Bipolar (SiGe) Circuits

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Max Baud  $\approx fT/10$

- Bipolar IC process figure of merit:  
 $fT$  = unity magnitude short circuit current gain
- Reference: Paul Gray & Robert Meyer, “Analysis and Design of Analog Integrated Circuits”, ©1977
- Why  $fT/10$ ?
- All optics IC communication building blocks require gain
- 10x gain at baud gives efficient, low power circuits
- 3x gain is difficult; requires cascading gain stages
- 1x gain is not usable

# Baud Limit Examples of Bipolar (SiGe) Circuits

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2002 – 2004 (Gen1 10G in design)

- $f_T \approx 110\text{GHz}$  (mainstream 250nm production process)
- $10\text{GHz} \approx f_T/10$
- 10Gbaud SiGe ICs feasible

2008 – 2010 (Gen1 100G in design)

- $f_T \approx 220\text{GHz}$  (mainstream 130nm production process)
- $25\text{GHz} \approx f_T/10$
- 25Gbaud SiGe ICs feasible

# Baud Limit of CMOS Circuits

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## Max Baud $\approx fT/10$

- CMOS IC process figure of merit:  
fT = unity magnitude short circuit current gain  
[ fMax (unity magnitude power gain) is better but not general]
- Reference: Thomas Lee, “The Design of CMOS Radio-Frequency Integrated Circuits”, ©1998
- Why fT/10?
- Same as for SiGe Circuits
- All optics IC communication building blocks require gain
- 10x gain at baud gives efficient, low power circuits
- 3x gain is not usable; low CMOS  $g_m$  makes cascading gain stages impractical

# Baud Limit Examples of CMOS Circuits

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2004 – 2006 (Gen2 10G in design)

- $f_T \approx 120\text{GHz}$  (mainstream 90nm production process)
- $10\text{GHz} < f_T/10$
- 10Gbaud CMOS ICs feasible

2010 – today (Gen2 100G in design)

- $f_T \approx 240\text{GHz}$  (mainstream 40nm production process)
- $25\text{GHz} < f_T/10$
- 25Gbaud CMOS ICs feasible

# Baud Limit of Direct Mod. Lasers

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Max Baud  $\approx fR * 1.5$

- Laser process figure of merit:  
fR = relaxation resonant frequency  
=  $fR_{\text{slope}} * \sqrt{(I_{\text{operation}} - I_{\text{threshold}})}$  (temperature dependant)
- Reference: Larry Coldren & Scott Corzine (UCSB), “Diode Lasers and Photonic Integrated Circuits”, ©1995
- Why fR \* 1.5?
- Max baud should be no higher than f3dB (Laser 3dB BW)  
f3dB = fR \* 1.55 (when fR = fPeak)  
(fPeak = Laser peak response frequency)



# Baud Limit Examples of Direct Mod. Lasers

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2002 – 2004 (Gen1 10G in design)

- $f_R \approx 9\text{GHz}$  (mainstream Laser production process)
- $10\text{GHz} < f_R * 1.5$
- 10GBaud DFB Lasers feasible

2010 – today (Gen2 100G in design)

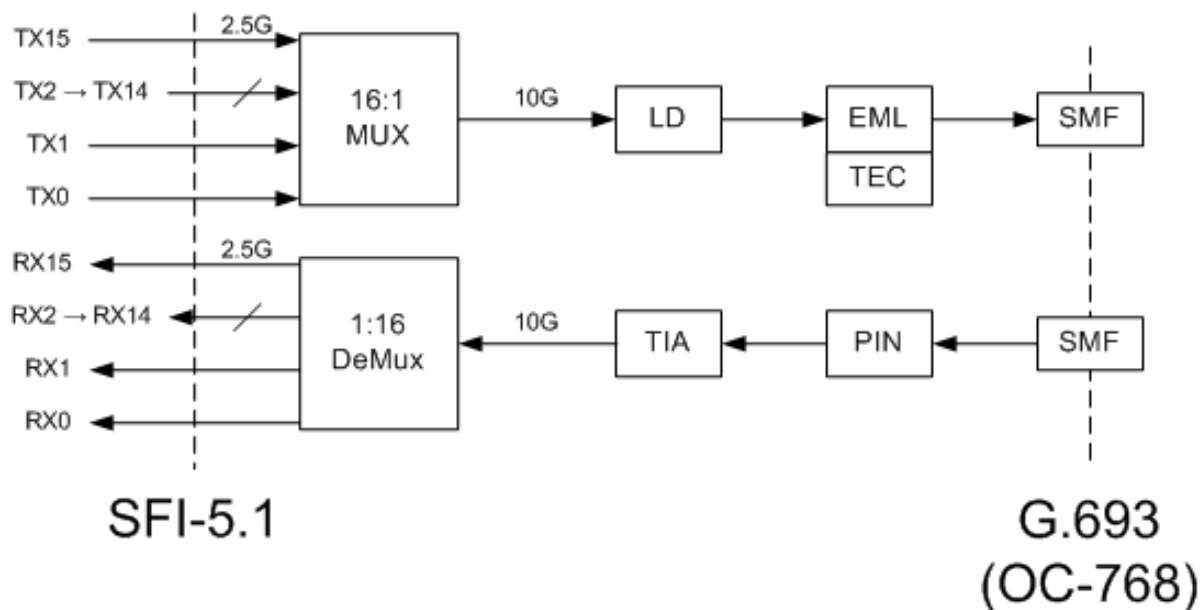
- $f_R \approx 17\text{GHz}$  (mainstream Laser production process)
- $25\text{GHz} \approx f_R * 1.5$
- 25GBaud DFB Lasers feasible

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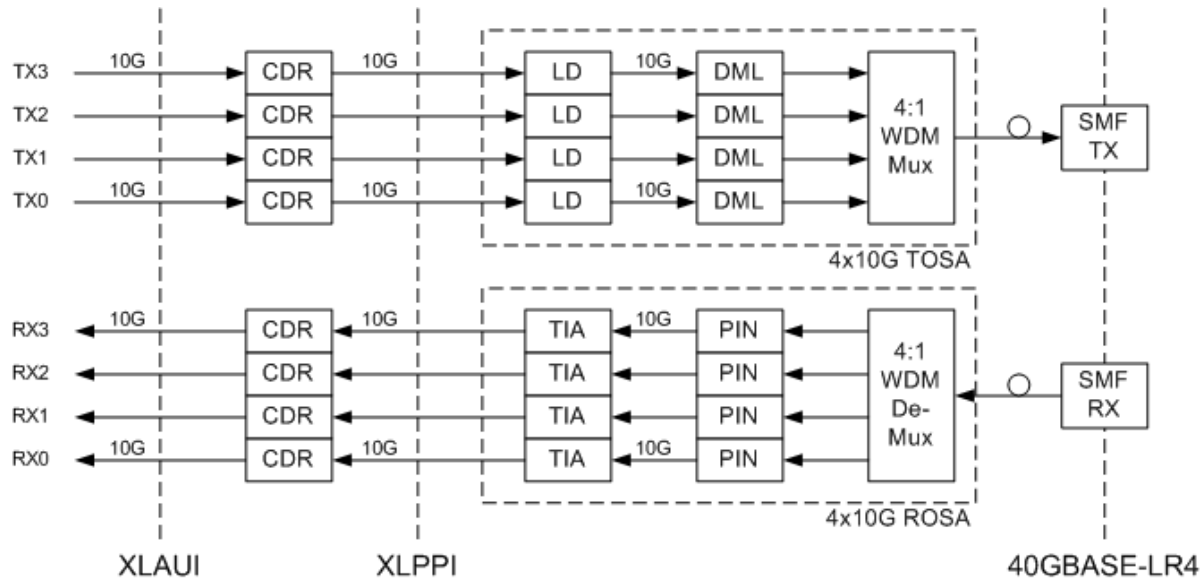
# 1<sup>st</sup> 40Gb/s G.693 SMF 1550nm NRZ 300-pin



Electric I/O		Optical I/O		
pin pair	Gb /s	fiber pair	$\lambda$	Gb /s
	2.5	1	1	
16				40
40		40		

ITU-T standard:  
2000

# 40Gb/s LR4 WDM SMF 1310nm NRZ



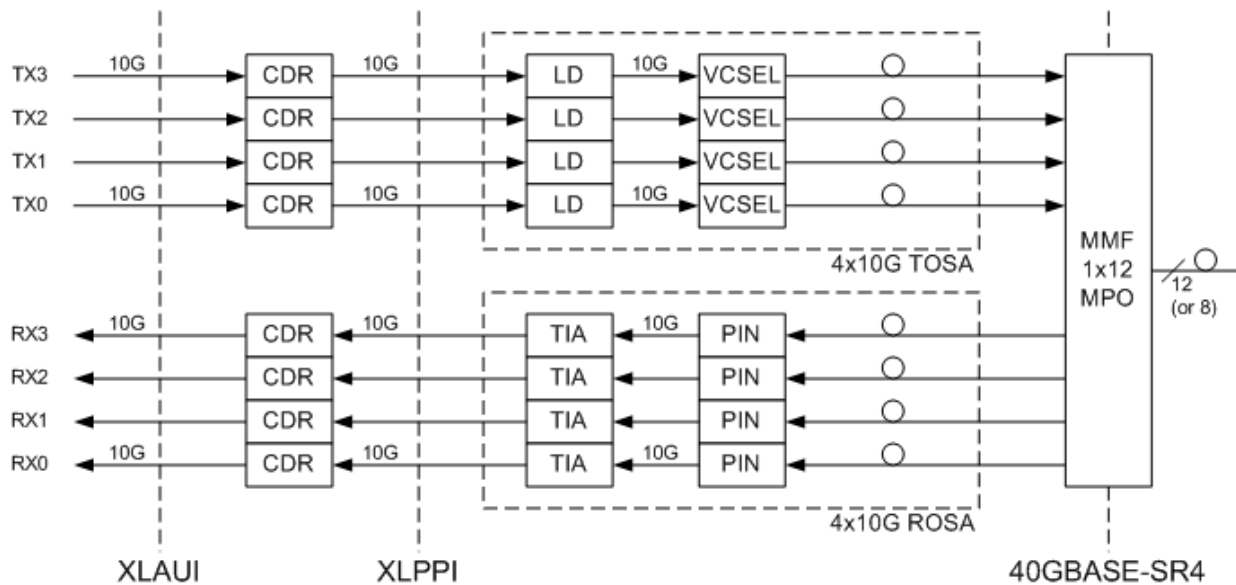
Electric I/O		Optical I/O		
pin pair	Gb/s	fiber pair	$\lambda$	Gb/s
		1		
4	10		4	10
40		40		

Table 87-5—Wavelength-division-multiplexed lane assignments

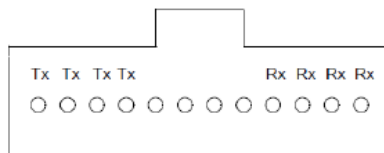
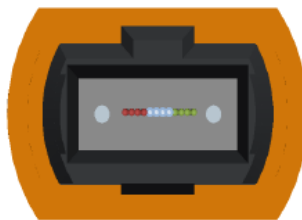
Lane	Center wavelength	Wavelength range
L <sub>0</sub>	1271 nm	1264.5 to 1277.5 nm
L <sub>1</sub>	1291 nm	1284.5 to 1297.5 nm
L <sub>2</sub>	1311 nm	1304.5 to 1317.5 nm
L <sub>3</sub>	1331 nm	1324.5 to 1337.5 nm

IEEE standard:  
2010

# 40Gb/s SR4 Parallel MMF 850nm NRZ



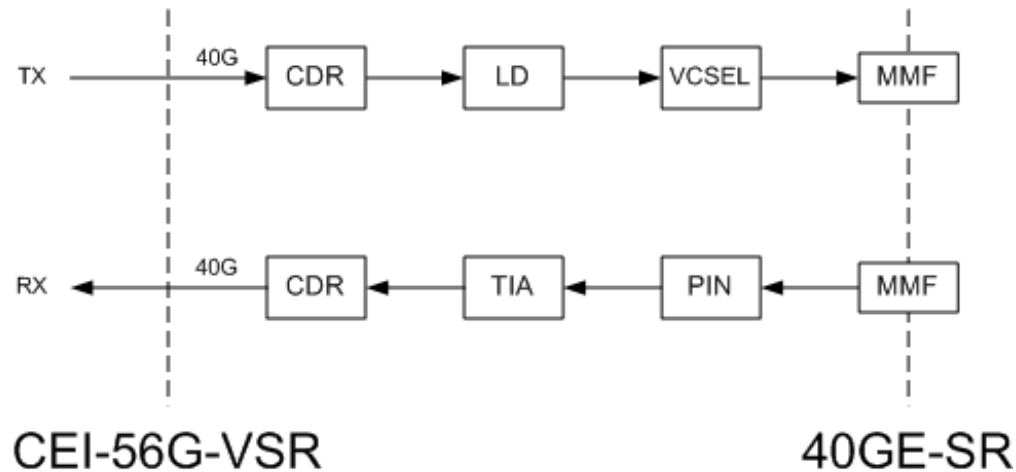
Electric I/O		Optical I/O		
pin pair	Gb/s	fiber pair	$\lambda$	Gb/s
			1	
4	10	4		10
40		40		



MPO connector & MMF differs from 10GE-SR

IEEE standard:  
2010

# Future 40Gb/s SR MMF 850nm NRZ



Electric I/O		Optical I/O		
pin pair	Gb /s	fiber pair	$\lambda$	Gb /s
1		1	1	
	40			40
40		40		

duplex LC connector & MMF cable is same as 10GE-SR

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# 1<sup>st</sup> 100Gb/s LR4 WDM SMF 1310nm NRZ

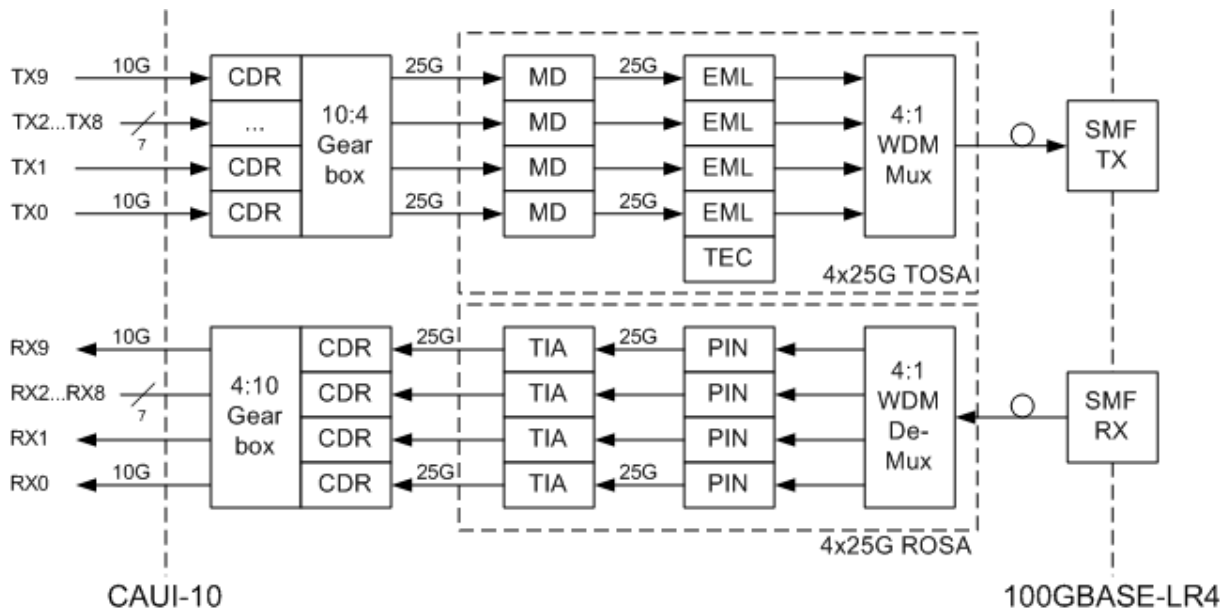


Table 88-5—Wavelength-division-multiplexed lane assignments

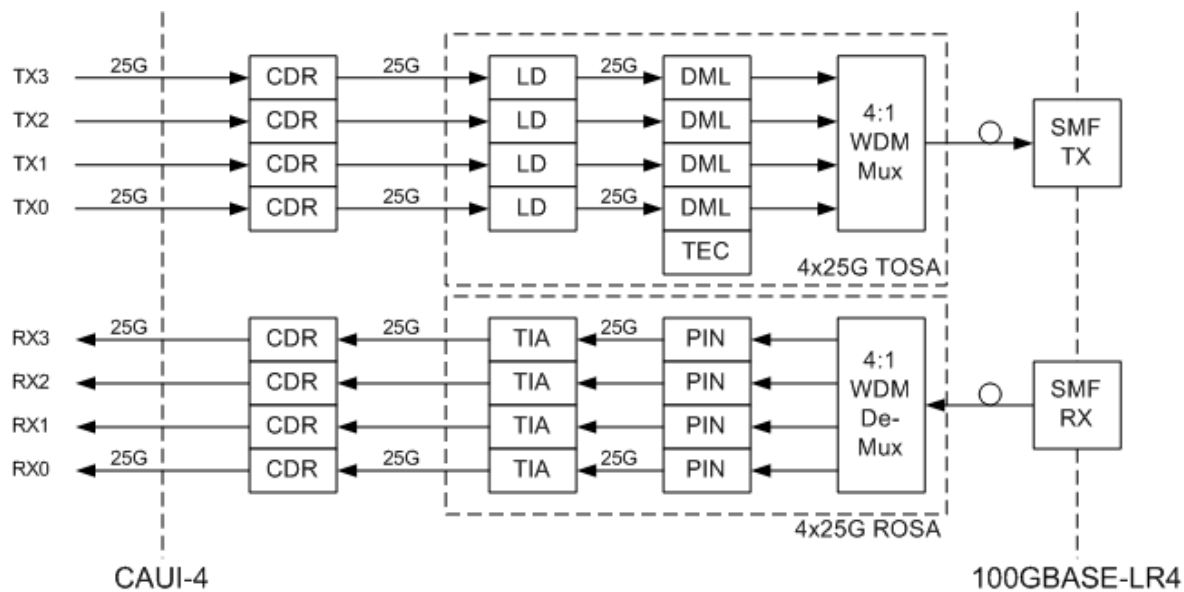
Lane	Center frequency	Center wavelength	Wavelength range
L <sub>0</sub>	231.4 THz	1295.56 nm	1294.53 to 1296.59 nm
L <sub>1</sub>	230.6 THz	1300.05 nm	1299.02 to 1301.09 nm
L <sub>2</sub>	229.8 THz	1304.58 nm	1303.54 to 1305.63 nm
L <sub>3</sub>	229 THz	1309.14 nm	1308.09 to 1310.19 nm

Electric I/O		Optical I/O		
pin pair	Gb/s	fiber pair	$\lambda$	Gb/s
		1		
	10		4	
10				25
100				100

IEEE standard:  
2010



# 100Gb/s LR4 WDM SMF 1310nm NRZ

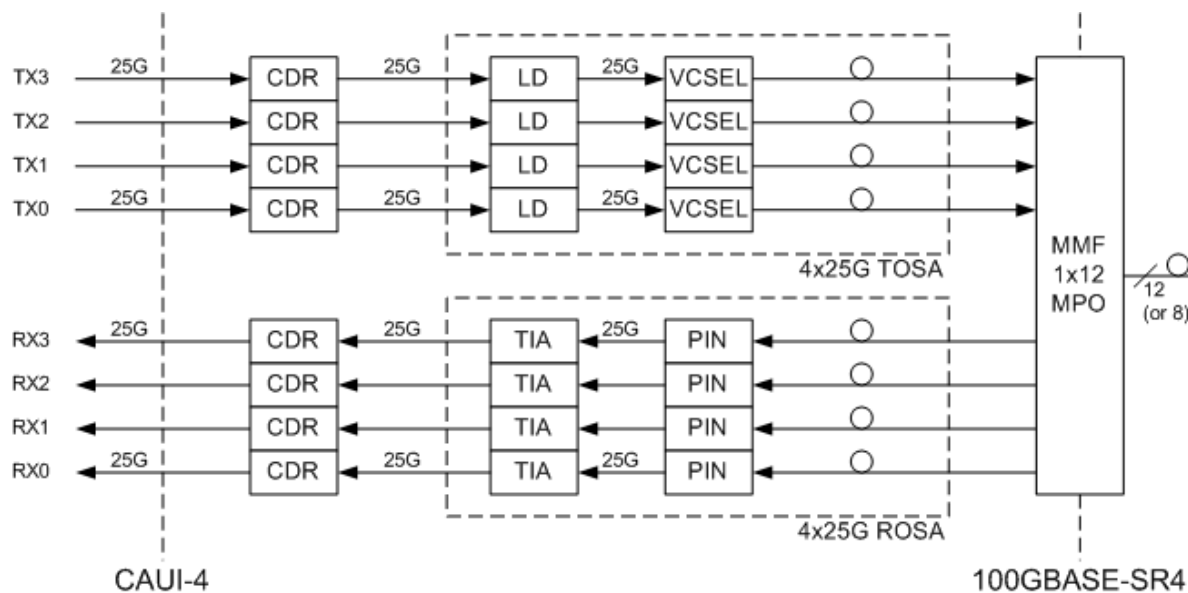


Electric I/O		Optical I/O		
pin pair	Gb/s	fiber pair	$\lambda$	Gb/s
		1		
4			4	
	25			25
100				100

Alternative  $\lambda$ s are could be on CWDM grid used for 40GBASE-LR4 (no TEC)

IEEE standards:  
2010 & 2014

# 100Gb/s SR4 Parallel MMF 850nm NRZ



Electric I/O		Optical I/O		
pin pair	Gb /s	fiber pair	$\lambda$	Gb /s
			1	
4		4		
	25			25
100		100		

IEEE standard:  
2014

# Outline

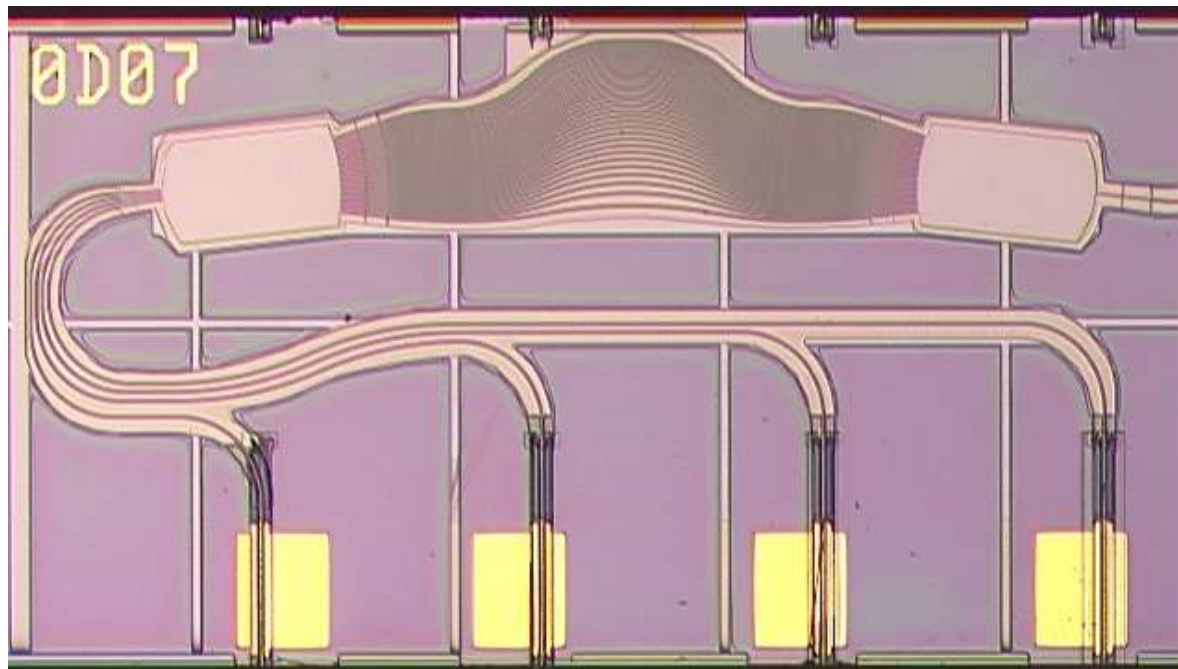
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# InP LW Technology

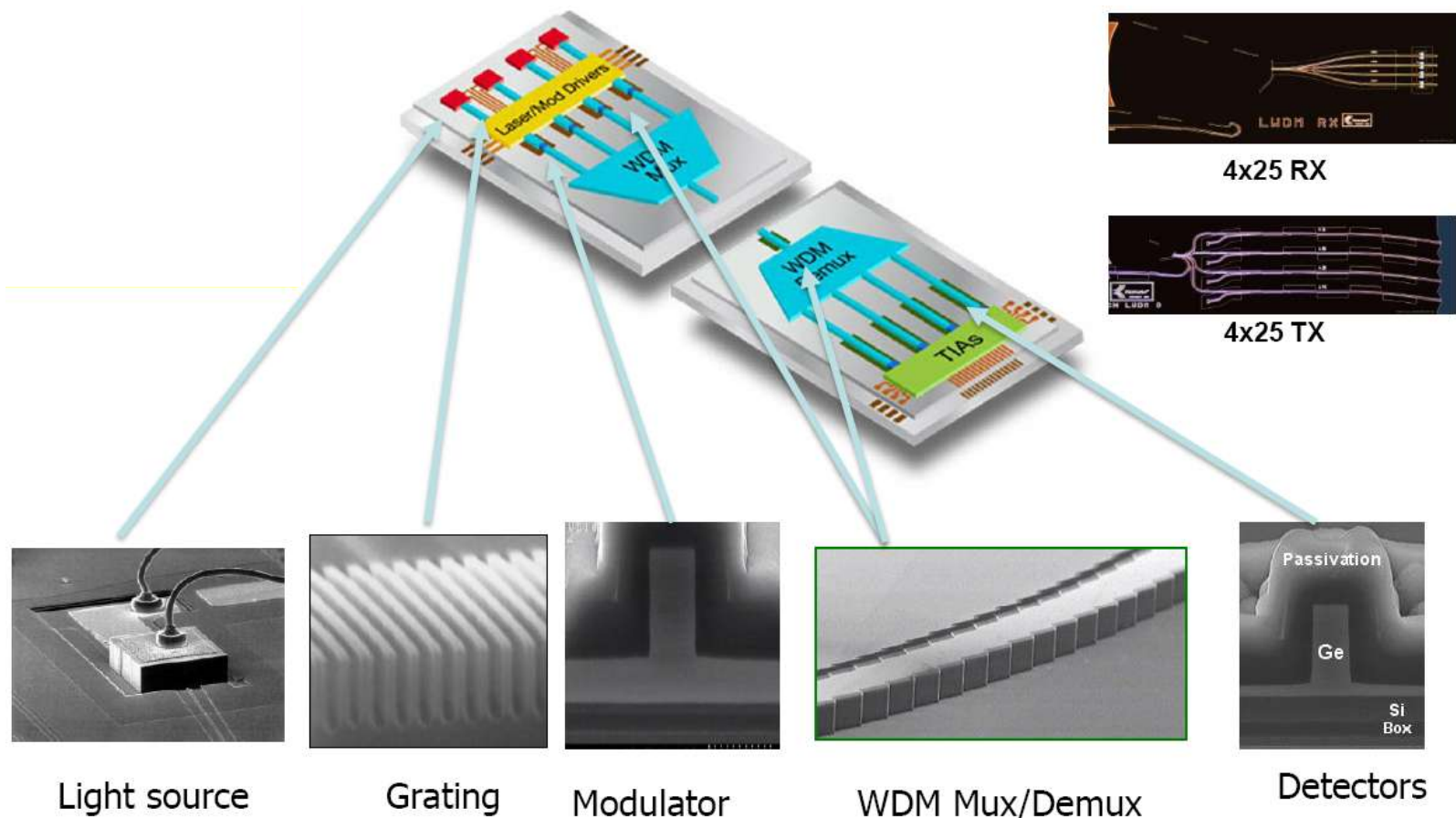
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- Photonic Integrated Circuit (PIC) WDM quad DFB array
- Ex. monolithic InP quad 1310nm band DFB laser array with AWG, 1.1mm x 2.4mm PIC, CyOptics Inc.



# SiP LW Technology

- Photonic Integrated Circuit (PIC) Transceiver Chips
- Ex. Hybrid Si Photonics quad 1550nm band PICs, Kotura



# GaAs SW Technology

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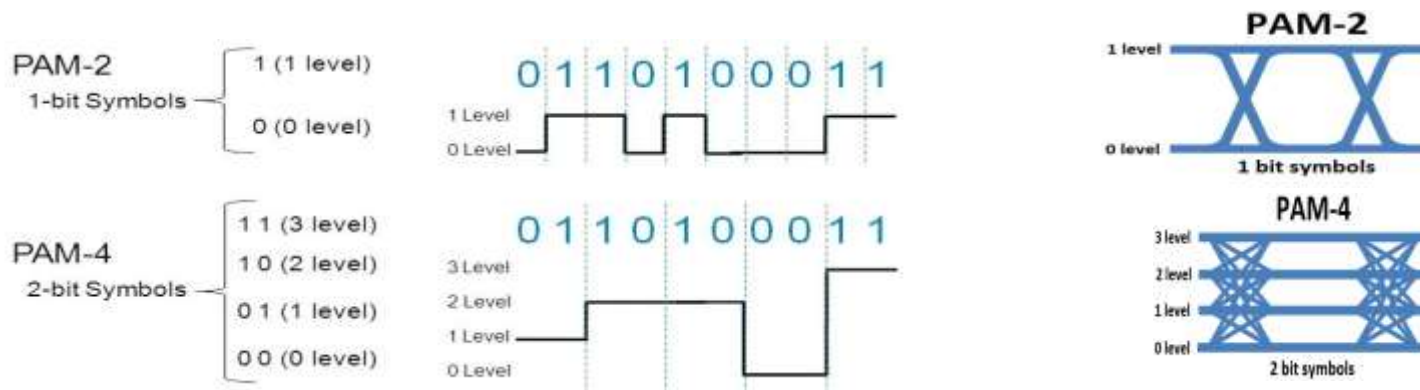
- Photonic Integrated Circuit (PIC\*) parallel quad VCSEL array
- Ex. monolithic GaAs quad 850nm VCSEL array, 0.25mm x 1.0mm PIC, Finisar Corp.



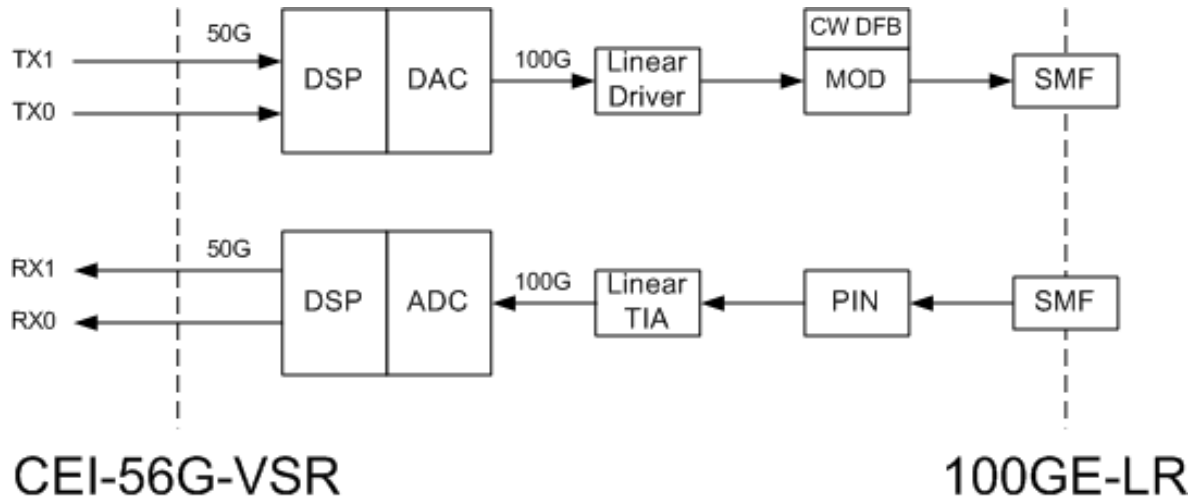
\* The “C” in PIC is a stretch since there are no optical connections

# Higher Order Modulation Technology

- Three basic parameters determine link rate:
  - Symbol rate (Baud)
  - Number of channels (fibers or wavelengths)
  - Number of bits/symbol (modulation order)
- Higher Order Modulation (>1 bit/symbol) example
  - PAM-4 vs. NRZ (PAM-2) reduces by 2x the Baud, or number of fibers, or number of wavelengths



# Future 100Gb/s LR SMF 1310nm PAM-4



2x 50Gb/s NRZ  $\lambda$ s WDM is an alternative

Electric I/O		Optical I/O		
pin pair	Gb /s	fiber pair	$\lambda$	Gb /s
		1	1	
2				
	50			100
100				100

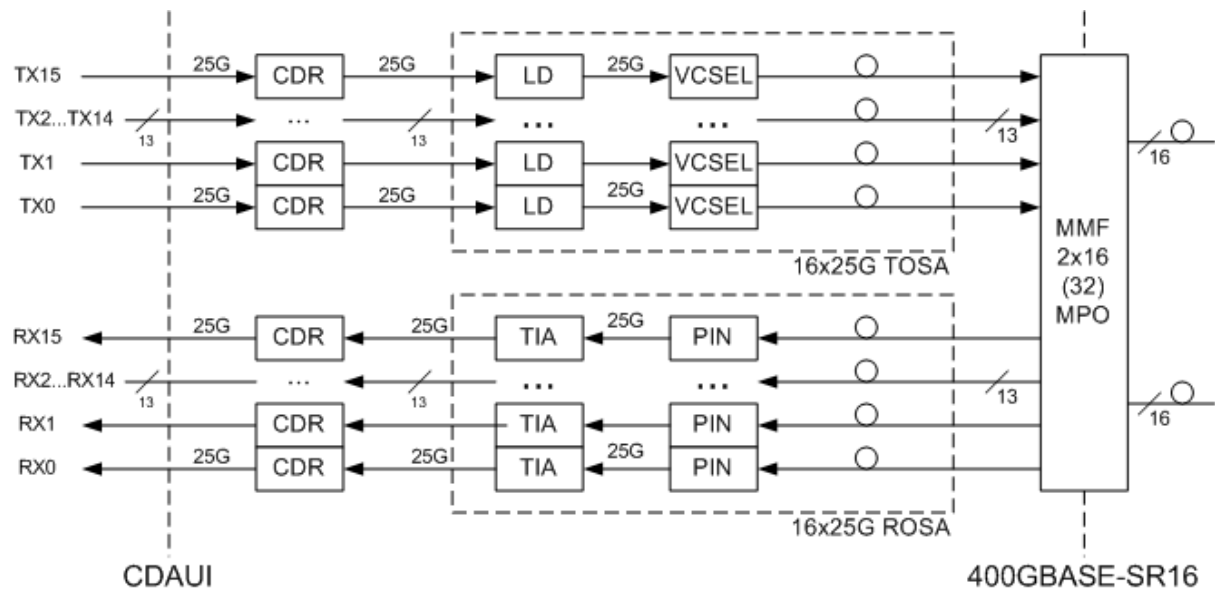


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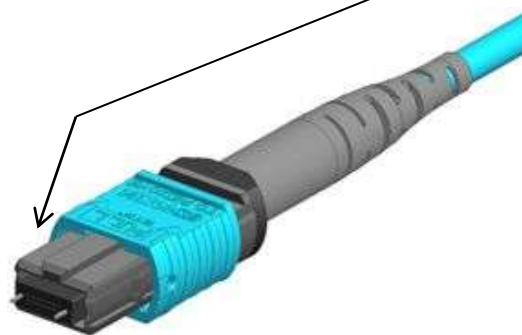
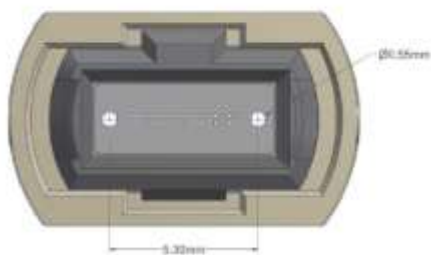
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# 400Gb/s SR16 Parallel MMF 850nm NRZ

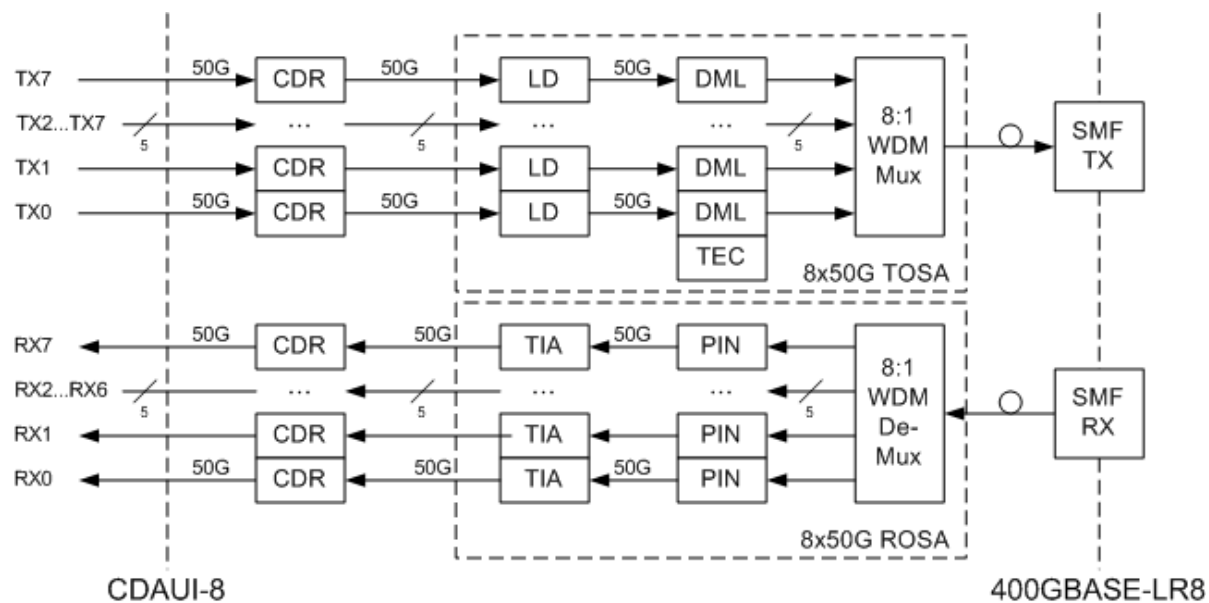


2 x 16 MMF MT ferrule



Electric I/O		Optical I/O		
pin pair	Gb /s	fiber pair	$\lambda$	Gb /s
			1	
	25			25
16		16		
400		400		

# 400G LR8 WDM SMF 1310nm NRZ



Electric I/O		Optical I/O		
pin pair	Gb /s	fiber pair	$\lambda$	Gb /s
		1		
8			8	
	50			50
400			400	

4x 100Gb/s PAM-4  $\lambda$ s HOM alternative

# What's After 400Gb/s?

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- 1Tb/s Ethernet
  - Has been extensively discussed
  - Vestige of 10x historical Ethernet speed jumps
  - Will require huge R&D investment
  - 2.5x speed increase from 400Gb/s is not compelling
- 1.6Tb/s Ethernet
  - 4x speed increase reasonable return on huge R&D \$
  - 4x is more likely for future speed increases
  - Similar to historical 4x Transport speed jumps
  - 1.6Tb/s will require all advanced technologies:
    - Parallel
    - WDM
    - Higher Order Modulation
    - Photonic Integration

# Evolution of Optical Interfaces for Data Centers

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Thank you



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